

Sources of bias in transgenic studies of Stroke Pathophysiology

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ABSTRACT

Background and purpose – Hundreds of clinical trials testing neuroprotective agents have disappointingly failed and recently, several systematic reviews have highlighted important deficits and bias in the quality of preclinical stroke research. The aim of this project is to begin the process of systematic review and meta-analysis on all the data from publications on transgenic animals and focal cerebral ischaemia.

Methods – Publications on transgenic animals and focal cerebral ischaemia were found electronically via PubMed, Embase and Biosis. A 95% confidence limit was calculated from preliminary data and comparisons were made between results collected.

Results- 432 were found electronically but only 157 publications were reviewed due to time restraints. 299 experiments on 5316 animals were analysed to produce preliminary data. The highest quality score recorded was 6/10 which may pose as a potential source of bias and a higher proportion of positive experiments were found within the upper limits of quality scores (4-6).

Conclusions- A present meta-analysis cannot be performed on preliminary results due to the presence of bias on a future meta-analysis when all the data is collected. With only 6 out of 299 experiments which used blinded assessment, there can be improvements in the study designs on transgenic animals. (Word count: 199)

INTRODUCTION

Stroke accounted for about 1 of every 15 deaths in the United States in 2003; of all strokes, 88% are ischaemic and 8-12% of ischaemic strokes result in death within 30 days¹. The estimated direct and indirect cost of stroke for 2006 is \$57.9 billion¹ and the strive to gain a deeper understanding of stroke pathophysiology is reflected in the large number of studies on animal models of stroke that have been conducted in the last 10 years.

The ethics of animal experiments are being actively debated in some countries² and the public only accept animal testing on the assumption that it benefits humans³. The impressive rate of understanding into the pathophysiology of stroke⁴ has prompted the development of many neuroprotective agents which are tested on animals.

However, the discrepancy between efficacy in animal data and the lack of efficacy in clinical data; reinforced time and time again by reports of unsuccessful outcomes in trials of candidate neuroprotectants on acute stroke patients has led to several excellent qualitative reviews and commentaries which addressed this issue. (for examples, see previously published studies⁵⁻¹⁰)

Pound et al¹¹ has recently called for urgent, more systematic evaluation of animal data before entering into clinical trials. Animal studies should not only include evidence of neuroprotective activity but also ideas of the limits of efficacy which can impact on the clinical efficacy of the drug¹².

In response to the growing need for urgent evaluation, we set out to examine studies on focal cerebral ischaemia in transgenic animals and the impact on the estimate of efficacy from aspects of study quality and study design. Studies on models of global, haemorrhagic and cell culture method of stroke were excluded because it is thought that focal models mimic acute human stroke more closely¹³.

Transgenic animals created by precise and permanent genetic modifications are used to provide insights into gene regulation, development, pathogenesis and the treatment of disease. The use of transgenic animals to demonstrate stroke models has helped researchers understand the progress, stages and symptoms of the disease better, and also to screen potential therapies or drugs. Mice form the majority of transgenic animals developed for this purpose because they are small, easy to manipulate and maintain¹⁴.

The most widely used techniques are pronuclear microinjection because of its simplicity and applicable to a wide range of species. However, this method is often considered relatively crude due to the number of copies integrated and the lack of control of where the transgene integrates in the genome. The second most common methodology is Embryonic stem cell manipulation which can introduce genes as well as 'knock out' genes by homologous recombination¹⁴. This method is only applicable in mice at present.

Using transgenic animals to demonstrate the pathophysiology of stroke is getting more popular as the technology has advanced with many lines of transgenic mice being developed to suit the needs of the experimental designs proposed by investigators¹⁵.

The main aim of the review are therefore (1) to identify all the transgenic animals that have either overexpression or knock-out of receptors with suspected neuroprotective qualities; (2) to compare and describe the study design and study quality and (3) to describe the main classes of neuroprotective receptors of transgenic animals.

METHODS

Identification of relevant studies

- (1) Electronic search of Pubmed, EMBASE and BIOSIS using search terms ((stroke) OR (cerebral ischaemia) AND (transgenic) OR (tg) or (targeted deletion) or (overexpression) or (knock out) or (vector)); (2) Handsearching of abstracts of Journal of Neuroscience; and (3) Requests to authors of publication identified above for other published or unpublished data.
- (2) Two investigators (LC, ES) independently extracted publications that described controlled studies of transgenic animals given in models of focal cerebral ischaemia induced by occlusion of the middle or anterior cerebral artery or their branches. Disagreements were resolved in discussion with a third investigator (MM).
- (3) End Point considered:
The primary outcome measure was infarct area or volume (determined histologically or by cross sectional imaging).

Methods of review¹⁶:

Quality assessment- There was no quality threshold for inclusion. Study quality was assessed against our published ten item checklist¹⁶ comprising (i) publication in peer reviewed journal, (ii) statement of control of temperature, (iii) randomization of treatment or control, (iv) blinded induction of ischaemia, (v) blinded assessment of outcome, (vi) avoidance of anaesthetics with marked intrinsic neuroprotective properties, (vii) use of animals with hypertension or diabetes, (viii) sample size calculation, (ix) statement of compliance with regulatory requirements, and (x) statement regarding possible conflicts of interest.

Data extraction – From each source we identified individual comparisons where outcome was measured in a group of transgenic animals with a specific knock out or overexpression and compared with outcome in a control group. Where there are more than one transgenic group compared with one control group, this was recorded. For each comparison and for each of transgenic and control group we extracted data for number per group, mean outcome and its standard deviation. Where an outcome was measured serially, only the last measure was used. Where data was given graphically we contacted authors seeking data; where this was not available we estimated values by measurement from publications. Data was extracted onto a data extraction form which was designed and produced specifically by ES.

We also collected other relevant data including anaesthetic used, time of outcome measurement, and method of induction of ischaemia, as well as the individual component items of the quality checklist above.

Analysis- The results were compiled into a table organised by pathway of receptor, the expression of transgenic animals, author, year of publication, sex, type of animal, No of treatment, the true No of control, anaesthetic, quality score, genotype, gene and study effectiveness. To calculate whether the study effectiveness was neutral or positive, i.e. whether the lower 95% confidence limit of effect size crossed the line of no, the experiment is termed Neutral, otherwise it is termed Positive.

$$\text{Effect size (\%)} = 100 * (1 - \text{Outcome [Treated]} / \text{Outcome [Control]})$$

Where a single control group served multiple transgenic groups, the size of the control group entered to the analysis was adjusted by division by the number of treatment groups served. The following comparisons/observations were made:

Comparisons between the:

- (1) Blinded assessments in experimental procedures and the effectiveness of outcome.
- (2) The publication type and the effectiveness of outcome.
- (3) Quality score and the effectiveness of outcome.
- (4) Anaesthetic and effectiveness of outcome.

And Observations on:

- (5) The proportion of KO/expression experiments.

- (6) The different pathways of the transgenes and the model of ischaemia used in experimental procedures.
- (7) The overall heterogeneity of the experiments.
- (8) The genotype of the results.
- (9) The random allocation to groups.

RESULTS

Electronic searching identified 432 publications describing the use of transgenic animals in models of focal cerebral ischaemia of which in the limited time given to do this project, 238 publications were reviewed. The results described below are merely preliminary results thus no statistical analysis was undertaken. Of these, 81 papers were excluded because they did not contain outcomes of infarct volume/area of transgenic animals and focal ischaemia; thus the analysis was based on 157 papers that included 299 experiments with the use of 5316 animals. (see Appendix 1)

Results of comparisons:

- (1) Blinded assessments in experimental procedures and the effectiveness of outcome (see Table 1)

In total, there were 6 out of 299 experiments that had a blinded assessment of outcome. When the results were dichotomised according to the neutral or positive effect, there were little observed differences between the 2 groups.

Outcome Measure	Neutral or positive	Blinded Assessment of Outcome	Sum Of Number in Treatment Group	Sum Of True No of Control	Total Animals	No of Comparisons
Infarct Volume	Neutral	TRUE	20	20	40	2
Infarct Volume	Neutral	FALSE	957	818.68	1775.68	106
Infarct Volume	Positive	TRUE	37	39	76	4
Infarct Volume	Positive	FALSE	1763	1661.31	3424.31	187

Table 1.

- (2) The publication type and the effectiveness of outcome (see Table 2)

There were 3 abstracts out of 299 publications and the number of positive full publication experiments (198) slightly exceeds the number of negative full publication experiments (107). The number between negative and positive abstracts are very similar however it

was difficult to compare the data any further as the number of abstracts formed such a small proportion of the total number of publications.

Outcome Measure	Publication Type	Neutral or positive	Sum Of Number in Treatment Group	Sum Of True No of Control	Total Animals	No of Comparisons
Infarct Volume	Abstract	Neutral	9	9	18	1
Infarct Volume	Full Publication	Neutral	968	829.68	1797.68	107
Infarct Volume	Abstract	Positive	33	49	82	2
Infarct Volume	Full Publication	Positive	1767	1651.31	3418.31	189

Table 2.

(3) Quality score and the effectiveness of outcome (see Table 3)

Out of 299 experiments, the highest quality score is 6 out of 10 which may present a potential important source of bias. When the effectiveness of the quality score were dichotomised into less or equal to 3 and more than 3; 43% was ≤ 3 and 57% was >3 in the neutral group. 34% was ≤ 3 and 65% was >3 in the positive group. Thus a higher proportional of positive experiments were found in studies that had a higher quality score of 4-6.

Outcome Measure	Quality Score	Neutral or positive	Sum Of Number in Treatment Group	Sum Of True No of Control	Total Animals	No of Comparisons
Infarct Volume	1	Neutral	21	20	41	3
Infarct Volume	2	Neutral	55	56.33	111.33	9
Infarct Volume	3	Neutral	365	267.6	632.6	34
Infarct Volume	4	Neutral	504	468.75	972.75	59
Infarct Volume	5	Neutral	12	6	18	1
Infarct Volume	6	Neutral	20	20	40	2
Infarct Volume	0	Positive	33	49	82	2
Infarct Volume	1	Positive	7	6	13	1
Infarct Volume	2	Positive	177	153.66	330.66	21
Infarct Volume	3	Positive	385	358.4	743.4	41

Infarct Volume	4	Positive	1044	989.25	2033.25	112
Infarct Volume	5	Positive	133	120	253	12
Infarct Volume	6	Positive	21	24	45	2

Table 3.

(4) Anaesthetic and effectiveness of outcome (see Table 4)

There were more positive results in experiments using Halothane and Isoflurane. Otherwise, there were no observed differences in the effectiveness on the other anaesthetics used.

Outcome Measure	Anaesthetic ID	Neutral or positive	Sum Of Number in Treatment Group	Sum Of True No of Control	Total Animals	No of Comparisons
Infarct Volume	Halothane	Neutral	415.5	382	797.5	46
Infarct Volume	Isoflurane	Neutral	147.5	108.25	255.75	17
Infarct Volume	Chloral Hydrate	Neutral	104	88	192	11
Infarct Volume	Pentobarbital	Neutral	23	22	45	2
Infarct Volume	Ketamine	Neutral	118	67.93	185.93	12
Infarct Volume	Unknown	Neutral	107	107.5	214.5	12
Infarct Volume	Tribromoethanol	Neutral	20	20	40	2
Infarct Volume	Sevoflurane	Neutral	27	28	55	3
Infarct Volume	Enflurane	Neutral	15	15	30	3
Infarct Volume	Halothane	Positive	867	822.5	1689.5	94
Infarct Volume	Isoflurane	Positive	370	355.25	725.25	42
Infarct Volume	Chloral Hydrate	Positive	90	84	174	11
Infarct Volume	Ketamine	Positive	150	116.06	266.06	16
Infarct Volume	Unknown	Positive	176	188.5	364.5	17
Infarct Volume	Tribromoethanol	Positive	88	85	173	6
Infarct Volume	Sevoflurane	Positive	44	37	81	4
Infarct Volume	Avertin	Positive	15	12	27	1

Table 4.

Results of observations:

(5) The proportion of KO/expression experiments

Of the 299 experiments, 235 were carried out on Knock out animals and 60 were overexpressed whilst 4 experiments were on both knock outs and overexpressed animals.

(6) The different pathways of the transgenes and the model of ischaemia on experimental procedures.

21 different pathways in total were studied, of which the majority worked on inflammation. 121 studies were carried out on a permanent model of focal ischaemia, 175 were temporary models and 3 were experiments on both temporary and permanent models.

(7) The overall heterogeneity of the experiments (see Table 5)

There was substantial heterogeneity between studies. ($X^2 = 7351$, $df = 298$, $p < 10^{-99}$).

Outcome Measure	Drug Group	No of Comparisons	Sum Of Total Animals	Heterogeneity Stat
Infarct Volume	Transgenics	299	5315.99	7350.555

Table 5.

(8) The genotype of results

Out of the 299 experiments, the majority were homozygous (176 experiments). Heterozygous experiments made up 51 of the total and 72 experiments used transgenic animals of an unknown genotype.

(9) The random allocation to groups (see Table 6)

Out of 299 experiments, only 8 of them randomly allocated animals to a group.

Outcome Measure	Random Allocation to Group	Neutral or positive	Sum Of Number in Treatment Group	Sum Of True No of Control	Total Animals	No of Comparisons
Infarct Volume	TRUE	Neutral	44	44	88	5
Infarct Volume	FALSE	Neutral	933	794.68	1727.68	103
Infarct Volume	TRUE	Positive	26	26	52	3
Infarct Volume	FALSE	Positive	1774	1674.31	3448.31	188

Table 6.

DISCUSSION

It must be noted that the data on this paper only presents the preliminary results and that no statistical analysis could be carried out on only half the number of papers that have been published on transgenic animals and focal cerebral ischaemia. A meta-analysis on the present data will affect the future meta-analysis on the data from all 432 publications because I will be looking for a certain outcome from the previous meta-analysis posing a bias.

It is common practice to carry out clinical trials as a double-blinded assessment; however, with the present data of 299 experiments, only 6 were blinded. It is highly unlikely that all the other 194 papers which have yet to be reviewed will describe all their experiments as blinded. It can therefore be presumed that less than 50% of the total number of assessments on focal ischaemia and transgenic animals are blinded and that this aspect of study quality needs to be improved to provide a more reliable estimate of efficacy.

No study scored more than 6 of 10 items on our quality checklist, and the lack of methodological rigour suggests that the effectiveness of studies calculated might be due to bias. It is therefore very important to be aware of this point when a meta-analysis is carried out on all the experiments of the 432 papers in the future as it has been previously shown that low study quality in reports of animal studies is associated with higher estimates of efficacy¹⁷.

As outlined in the results, only 8 experiments out of 299 randomly allocated animals to groups. This will no doubt affect the study quality of the outcome and poses a further bias into the effectiveness of the results.

The huge heterogeneity has meant that a global estimate of the data may not be representative of the true efficacy. A meta-analysis cannot be used to clump the data together because the differences between the aspects of study characteristics from each paper are too big. It is thus very difficult to make a fair comparison between studies that are so different in nature and in the future, it will be necessary to systematically go through each set of data and its study qualities to find out which aspects of the study are lacking and can be improved.

The analysis on this paper is entirely observational and only simple calculations on the preliminary results were performed due to the time restraints of this project. A lot of results are compared with the effectiveness of the outcome and experiments are grouped as neutral or positive. It must be noted however that in some KO experiments, a neutral result was perhaps the aim and it will produce a more comprehensive analysis if the present papers are reviewed again to discover whether the investigators were trying to produce a positive or neutral result.

Future directions

More accurate estimates of neuroprotective efficacy in transgenic animals and focal ischaemia will be afforded through systematic review and meta-analysis for individual transgenes¹⁸. Several papers call for urgent evaluation on the contribution of animal studies to clinical medicine^{11,19} and systematic reviews and meta-analyses of the existing animal experiments would be an important step forward in this process.

Systemic review uses a methodical approach to minimize the risk of bias in the selection of studies for inclusion, whereas meta-analysis combines results from individual studies to produce a better estimate of treatment effect¹².

The meta-analysis of all the data on transgenic animals and focal ischaemia will provide important information on the effect of study quality and presence of bias on the efficacy of the outcomes. This will go towards improving the study quality of future experiments thus producing outcomes with higher efficacies which is reliable enough to take into clinical trials.

Further meta-analysis on transgenic animals and other models of ischaemic stroke (haemorrhagic and global) will help to elucidate the determinants of efficacy in animal models of stroke.

Conclusion

Stroke ranks No.3 among all causes of death when considered separately from other cardiovascular diseases, behind diseases of the heart and all forms of cancer¹. It has been a considerable challenge developing safe and effective treatments in experimental and clinical neuroprotection thus it is very important to take the appropriate steps to improve the study quality of animal experiments by systemic review and analysis. This

may with time, help to abolish the misconception that in the case of neuroprotection research, everything works in animals but nothing works on humans. Pound et al have suggested that all animal data should be systemically reviewed before taken into clinical trail. A future meta-analysis of the data on transgenic animals and focal ischaemia will no doubt help to elucidate the pathways which produce higher efficacy and help towards the design of an effective drug and treatment.

Drugs in the past have been taken forward to clinical trials without evidence of superior efficacy in animal models¹⁷, if greater rigour is adopted in the conduct and reporting of animal data from an early stage such as from data on the pathophysiology of stroke, it is more likely that a certain pathway with a superior efficacy will produce a more effective treatment. Using the approach of systematic review and meta-analysis of all animal data, there is every chance that the transition between treatments from the labs to clinical trials will be improved.

(Word count: 2494)

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Appendix 1

Study Characteristics Table

Pathway	Expression	Author	Year	Sex	Animal	N (C)	N (Rx)	Mode	Anaesthetic	Quality Score	Genotype	Gene	Effectiveness
Angiogenesis													
	KO	Asahi,M ¹	2001	Male	Mouse	7	8	Temporary	Halothane	4	unknown	MMP-9	Positive
		Conway,E ²	2003	Unknown	Mouse	7	7	Permanent	Ketamine	3	heterozygous	Survivin+/-	Neutral
		Copin,J ³	2005	Unknown	Mouse	5	5	Temporary	Isoflurane	3	Unknown	MMP9	Positive
		Gidday,J ⁴	2005	Unknown	Mouse	9	10	Permanent	Halothane	4	Homozygous	MMP-9 -/-	Neutral
		Koistinaho,J ⁵	2005	Male	Mouse	5	5	Permanent	Halothane	4	homozygous	MMP-9	Positive
		Sakai,T ⁶	2001	Male	Mouse	10	7	Temporary	Halothane	3	homozygous	pFn-null	Positive
Anti-apoptotic													
	KO	Dore,S ⁷	2000	Male	Mouse	11	10	Temporary	Halothane	4	homozygous	HO2-/-	Positive
		Goto, ⁸	2003	Unknown	Mouse	9	7	Temporary	Halothane	4	homozygous	HO2 -/-	Positive
		Hata,R ⁹	1999	Unknown	Mouse	5	7	Temporary	Halothane	4	homozygous	bcl-2	Positive
		Lee,E ¹⁰	2001	Unknown	Mouse	15	15	Temporary	Ketamine	4	homozygous	hsp70.1	Positive
		MacManus,J ¹¹	2003	Unknown	Mouse	4.75	9.5	Temporary	Isoflurane	4	homozygous	E2F1	Neutral
		Martin-Villalba,A ¹²	2001	Unknown	Mouse	3	8	Temporary	Ketamine	2	Unknown	gld	Positive
		Siushansian,R ¹³	2001	Male	Mouse	12	13	Permanent	Pentobarbital	4	heterozygous	CX43	Neutral
		Taizen,N ¹⁴	2003	Male	Mouse	13	13	Permanent	Unknown	4	heterozygous	CX43	Neutral
	Overexpression	Alkayed,N ¹⁵	2001	Female	Mouse	8	8	Temporary	Halothane	4	unknown	bcl-2	Neutral

Pathway	Expression	Author	Year	Sex	Animal	N (C)	N (Rx)	Mode	Anaesthetic	Quality Score	Genotype	Gene	Effectiveness
		DeVries,C ¹⁶	2001	Male	Mouse	5	6	Temporary	Halothane	4	unknown	Bcl-2	Positive
		Lee,E ¹⁷	2001	Male	Mouse	14	13	Permanent	Halothane	4	heterozygous	HSP70	Neutral
		Plumier,J ¹⁸	1997	Unknown	Mouse	5	5	Permanent	Halothane	4	unknown	Hsp-70	Neutral
		Rajdev,S ¹⁹	2000	Male	Mouse	9	12	Permanent	Isoflurane	4	heterozygous	HSP70 tg	Positive
		Tsuchiya,D ²⁰	2003	Male	Mouse	8	7	Permanent	Isoflurane	4	unknown	HSP70	Positive
		van der Weerd,L ²¹	2005	Male	Mouse	7	8	Permanent	Isoflurane	4	homozygous	hsp70	Positive
		Wiessner,C ²²	2006	Male	Mouse	25	20	Permanent	Isoflurane	3	unknown	Bcl-XL	Positive
<u>Antihypertensive</u>													
	KO	Walther,T ²³	2002	Female	Mouse	6	6	Permanent	Halothane	3	unknown	AT1	Positive
		Xia,C ²⁴	2006	Both	Mouse	6	6	Temporary	Isoflurane	4	unknown	Kinin B2	Neutral
<u>Antioxidant</u>													
	KO	Crack,P ²⁵	2006	Male	Mouse	6	6	Temporary	Ketamine	2	homozygous	Gpx1-/-	Positive
		Fujimura,M ²⁶	2001	Male	Mouse	3	7	Permanent	Isoflurane	4	homozygous	SOD -/-	Neutral
		Keller,J ²⁷	2000	Unknown	Mouse	6	12	Temporary	Chloral Hydrate	3	homozygous	GPX -/-	Positive
		Kim,G ²⁸	2001	Male	Mouse	7.5	7.5	Temporary	Isoflurane	4	heterozygous	SOD2 +/-	Positive
		Murakami,K ²⁹	1998	Male	Mouse	7	8	Permanent	Isoflurane	3	heterozygous	SOD2-/+	Neutral
	Overexpression	Crack,P ³⁰	2003	Unknown	Mouse	1.33	4	Temporary	Ketamine	2	homozygous	SOD 1	Neutral
		Keller,J ³¹	1998	Unknown	Mouse	6	6	Temporary	Chloral Hydrate	4	heterozygous	MnSOD	Positive

Pathway	Expression	Author	Year	Sex	Animal	N (C)	N (Rx)	Mode	Anaesthetic	Quality Score	Genotype	Gene	Effectiveness
		Kilic,E ³²	2004	Unknown	Mouse	4.5	4.5	Temporary	Halothane	4	unknown	SOD1 G93 A	Neutral
		Kim,G ³³	2001	Male	Mouse	10	10	Permanent	Isoflurane	4	heterozygous	SOD-1	Positive
		Kinouchi,H ³⁴	1991	Male	Mouse	15	15	Permanent	Halothane	4	unknown	SOD1	Positive
		Kokubo,Y ³⁵	2002	Unknown	Mouse	6	7	Temporary	Isoflurane	5	heterozygous	SOD 1	Positive
		Sheng,H ³⁶	1998	Male	Mouse	19	21	Temporary	Halothane	4	unknown	EC-SOD	Positive
	Overexpression/KO	Crack,P ³⁰	2003	Unknown	Mouse	1.33	4	Temporary	Ketamine	2	homozygous	SOD-1/Gpx-1	Positive
<u>Anti-apoptotic</u>	KO	Rossenbaum,D ³⁷	2000	Unknown	Mouse	12	15	Permanent	Ketamine	2	Unknown	lpr (loss of	Positive
<u>Calcium</u>	KO	Chen,Y ³⁸	1999	Both	Mouse	6	6	Temporary	Halothane	3	Unknown	A2A receptor	Positive
		Connolly,E ³⁹	1996	Unknown	Mouse	16	18	Temporary	Ketamine	2	homozygous	ICAM-1	Positive
	Overexpression	Grilli,M ⁴⁰	2000	Unknown	Mouse	18	17	Permanent	Unknown	3	heterozygous	PS1 variant	Neutral
		Mattson,M ⁴¹	2000	Unknown	Mouse	12	12	Temporary	Chloral Hydrate	3	unknown	PS1	Positive
<u>Excitotoxicity</u>	KO	Bacich,D ⁴²	2005	Male	Mouse	10.5	10.5	Temporary	Isoflurane	3	homozygous	Folh1-/-	Positive
		Goto, ⁴³	2002	Male	Mouse	12	9	Temporary	Halothane	4	homozygous	PARP-1	Neutral
		Morikawa,E ⁴⁴	1998	Female	Mouse	28	19	Temporary	Halothane	4	heterozygous	Glur e1	Positive

Pathway	Expression	Author	Year	Sex	Animal	N (C)	N (Rx)	Mode	Anaesthetic	Quality Score	Genotype	Gene	Effectiveness
		Namura,S ⁴⁵	2002	Unknown	Mouse	9	10	Temporary	Halothane	3	heterozygous	GLT+/-	Neutral
		Parmentier,S ⁴⁶	2002	Male	Mouse	2.5	5	Temporary	Isoflurane	4	homozygous	CB1	Positive
	Overexpression	Kang,H ⁴⁷	2004	Male	Mouse	15	8	Permanent	Chloral Hydrate	3	Unknown	TERT,	Positive
		Le,D ⁴⁸	1997	Unknown	Mouse	10	11	Permanent	Isoflurane	4	heterozygous	AMPA	Positive
<u>Genomic Integrity</u>													
	KO	Cozzi,A ⁴⁹	2006	Male	Mouse	8	8	Permanent	Isoflurane	3	homozygous	PARG110 -/-	Positive
		Jin,G ⁵⁰	2005	Male	Mouse	6	6	Temporary	Halothane	4	homozygous	PAR2	Positive
		Kofler,J ⁵¹	2006	Male	Mouse	10	11	Temporary	Halothane	4	homozygous	PAR2 -/-	Positive
<u>Growth Factor</u>													
	KO	Bates,B ⁵²	2002	Unknown	Mouse	10	9	Temporary	Halothane	4	homozygous	NT-3	Positive
		Kiprianova,I ⁵³	2004	Unknown	Mouse	10	10	Temporary	Halothane	4	homozygous	FGF-2 -/-	Positive
		Tsai,P ⁵⁴	2006	Unknown	Mouse	4	5	Permanent	Unknown	1	homozygous	Epo-/-, EpoR-	Neutral
	Overexpression	Aoki,Y ⁵⁵	2000	Unknown	Mouse	9	9	Temporary	Halothane	3	unknown	nestin-SHP2-	Positive
		Endres,M ⁵⁶	2003	Unknown	Mouse	15	15	Temporary	Halothane	4	heterozygous	Bdnf (nt4-ki)	Positive
		Kilic,E ⁵⁷	2006	Unknown	Mouse	8	8	Temporary	Halothane	4	unknown	VEGF 165	Positive
		Saarelainen,T ⁵⁸	2000	Male	Mouse	5	6	Temporary	Halothane	4	heterozygous	trkB.T1	Positive
<u>hypocholesterole</u>													
	KO												

Pathway	Expression	Author	Year	Sex	Animal	N (C)	N (Rx)	Mode	Anaesthetic	Quality Score	Genotype	Gene	Effectiveness
		Bart,R ⁵⁹	1998	Male	Mouse	13	14	Permanent	Halothane	4	homozygous	APOE	Neutral
		Hatcher,J ⁶⁰	2002	Male	Mouse	7	7	Permanent	Halothane	3	homozygous	APOE	Neutral
		Kitagawa,K ⁶¹	2001	Male	Mouse	6	6	Permanent	Halothane	4	homozygous	APOE	Neutral
Inflammation	KO												
		Arsenijevic,D ⁶²	2006	Male	Mouse	6	6	Permanent	Ketamine	2	homozygous	PRAPalpha	Neutral
		Arumugam,T ⁶³	2004	Unknown	Mouse	5	10	Temporary	Ketamine	3	homozygous	LFA-1	Neutral
		Beamer,C ⁶⁴ Neutral	2006	Both	Mouse	10	10	Permanent	Tribromoethanol	6	homozygous	SHP -1 me/me	Neutral
		Bonventre,J ⁶⁵	1997	Unknown	Mouse	12	12	Temporary	Halothane	3	homozygous	cPLA2	Positive
		Boutin,H ⁶⁶	2001	Male	Mouse	3	9	Temporary	Halothane	4	homozygous	IL-1a, IL-1b,	Neutral
		Cheung,R ⁶⁷	2002	Unknown	Mouse	8	11	Permanent	Chloral Hydrate	4	heterozygous	COX 1	Neutral
		Cho,S ⁶⁸	2005	Male	Mouse	13	9	Temporary	Isoflurane	4	homozygous	CD36	Positive
		Clark,W ⁶⁹	2000	Male	Mouse	7.5	15	Temporary	Halothane	3	Homozygous	IL-6 -/-	Neutral
		Deplanque,D ⁷⁰	2003	Male	Mouse	6	6	Temporary	Chloral Hydrate	4	homozygous	APOE	Positive
		Frenkel,D ⁷¹	2005	Female	Mouse	10	9	Temporary	Isoflurane	5	homozygous	IL10-/-	Positive
		Friedlander,R ⁷²	2006	Both	Mouse	14	11	Permanent	Halothane	6	unknown	ICE (NSE-	Positive
		Grilli,M ⁷³	2000	Male	Mouse	36	39	Permanent	Tribromoethanol	5	homozygous	IL-10 -/-	Positive
		Groger,M ⁷⁴	2005	Male	Mouse	7	7	Temporary	Halothane	4	homozygous	Bradykinin B2	Neutral
		Hermann,D ⁷⁵	2003	Both	Mouse	8	9	Temporary	Halothane	3	homozygous	IL-6 (-/-)	Positive
		Hughes,P ⁷⁶	2002	Both	Mouse	22	19	Permanent	Isoflurane	4	homozygous	MCP-/-	Positive

Pathway	Expression	Author	Year	Sex	Animal	N (C)	N (Rx)	Mode	Anaesthetic	Quality Score	Genotype	Gene	Effectiveness
		Iadecola,C ⁷⁷	2001	Unknown	Mouse	3	7	Permanent	Halothane	3	heterozygous	COX 2	Positive
		Ikeda-Matsuo,Y ⁷⁸	2006	Both	Mouse	10	10	Temporary	Halothane	4	homozygous	mPEGS-1	Positive
		Inoue,H ⁷⁹	2003	Unknown	Mouse	10	7	Permanent	Halothane	2	Unknown	PPAR alpha	Positive
		Ishikawa,M ⁸⁰	2005	Male	Mouse	3.25	6.5	Temporary	Isoflurane	4	homozygous	CD 40 & L	Positive
		Kawano,T ⁸¹	2006	Male	Mouse	6	6	Temporary	Unknown	4	homozygous	EP1 -/-	Positive
		Khanna,S ⁸²	2005	Male	Mouse	6	6	Temporary	Halothane	3	Unknown	12-	Positive
		Kitagawa,K ⁸³	2004	Male	Mouse	6	6	Permanent	Halothane	4	homozygous	5-	Neutral
		Komine-Kobayashi,M ⁸⁴	2004	Unknown	Mouse	5	5	Temporary	Isoflurane	4	homozygous	FcyR-/-	Positive
		Le Feuvre,R ⁸⁵	2003	Male	Mouse	7	7	Temporary	Unknown	3	unknown	P2X7	Positive
		Massberg,S ⁸⁶	2005	Male	Mouse	6	6	Temporary	Unknown	2	homozygous	GP lib -/-	Positive
		McLennan,N ⁸⁷	2004	Unknown	Mouse	9.5	17	Permanent	Halothane	3	homozygous	PrP o/o,	Positive
		Nurmi,A ⁸⁸	2004	Male	Mouse	9	12	Permanent	Halothane	4	homozygous	p50-/- of NF-kB	Positive
		Ohtaki,H ⁸⁹	2003	Male	Mouse	13	12	Temporary	Sevoflurane	4	homozygous	IL-1	Positive
		Pinsky,D ⁹⁰	2002	Unknown	Mouse	17	21	Temporary	Unknown	3	homozygous	Cd39	Positive
		Pinteaux,E ⁹¹	2005	Male	Mouse	9	9	Temporary	Halothane	3	homozygous	IL-1ra	Positive
		Prestigiacomo,C ⁹²	1999	Unknown	Mouse	14	26	Both	Ketamine	3	homozygous	CD18	Neutral
		Rahpeymai,Y ⁹³	2006	Male	Mouse	7	9	Permanent	Isoflurane	3	homozygous	C3a Receptor	Positive
		Sapirstein,A ⁹⁴	2000	Unknown	Mouse	12	12	Temporary	Unknown	2	homozygous	cPLA2	Positive
		Schielke,G ⁹⁵	1998	Male	Mouse	5	5	Temporary	Chloral Hydrate	5	homozygous	ICE	Positive

Pathway	Expression	Author	Year	Sex	Animal	N (C)	N (Rx)	Mode	Anaesthetic	Quality Score	Genotype	Gene	Effectiveness
		Schneider,A ⁹⁶	1999	Unknown	Mouse	22	15	Temporary	Halothane	3	Unknown	p50	Neutral
		Schroeter,M ⁹⁷	2006	Both	Mouse	5	5	Permanent	Enflurane	3	homozygous	OPN-/-	Neutral
		Soriano,S ⁹⁸	1999	Male	Mouse	6	9	Temporary	Isoflurane	4	homozygous	P-E selectin -	Neutral
		Wheeler,R ⁹⁹	2003	Male	Mouse	9	7	Temporary	Halothane	4	homozygous	IL-18	Neutral
		Zou,L ¹⁰⁰	2006	Both	Mouse	6	6	Permanent	Ketamine	2	heterozygous	COX +/-	Neutral
	Overexpression												
		Chen,Y ¹⁰¹	2003	Both	Mouse	8.5	8.5	Temporary	Isoflurane	4	unknown	MCP-1	Positive
		Dore,S ¹⁰²	2003	Male	Mouse	6	6	Temporary	Halothane	4	unknown	COX 2	Positive
		Koistinaho,J ¹⁰³	2002	Male	Mouse	7	7	Permanent	Halothane	4	homozygous	APP751	Positive
		Zhang,Y ¹⁰⁴	2005	Male	Mouse	12	15	Permanent	Avertin	4	unknown	NFkBα	Positive
<u>Ion transporter</u>													
	KO												
		Chen,Y ¹⁰⁵	2005	Unknown	Mouse	3	4	Temporary	Halothane	4	homozygous	NKCC1 -/-,	Positive
		Luo,J ¹⁰⁶	2005	Unknown	Mouse	4	4	Temporary	Halothane	4	heterozygous	NHE +/-	Positive
<u>NO Donor</u>													
	KO												
		Asahi,M ¹⁰⁷	2005	Male	Mouse	10	10	Permanent	Halothane	4	homozygous	eNOS	Neutral
		Atochin,D ¹⁰⁸	2002	Unknown	Mouse	5	10	Permanent	Isoflurane	3	homozygous	eNOS	Neutral
		Chen,Y ¹⁰⁹	2005	Male	Mouse	11	14	Permanent	Halothane	3	homozygous	eNOS	Neutral
		Cho,S ¹¹⁰	2005	Male	Mouse	7	11	Temporary	Isoflurane	4	homozygous	iNOS	Positive
		Endres,M ¹¹¹	2003	Unknown	Mouse	7	5	Temporary	Isoflurane	4	homozygous	eNOS-/-	Neutral

Pathway	Expression	Author	Year	Sex	Animal	N (C)	N (Rx)	Mode	Anaesthetic	Quality Score	Genotype	Gene	Effectiveness
		Gibson,C ¹¹²	2005	Male	Mouse	6	6	Temporary	Isoflurane	4	Homozygous	NOS2-/-	Positive
		Goyagi,T ¹¹³	2001	Male	Mouse	8	8	Temporary	Halothane	4	homozygous	nNOS	Positive
		Hiroi,Y ¹¹⁴	2006	Unknown	Mouse	6.5	6	Temporary	Unknown	2	homozygous	eNOS	Neutral
		Huang,P ¹¹⁵	1997	Both	Mouse	10	10	Permanent	Halothane	3	Unknown	eNOS	Neutral
		Iadecola,C ¹¹⁶	1997	Unknown	Mouse	8	9	Permanent	Halothane	4	homozygous	iNOS	Positive
		Kilic,E ¹¹⁷	2004	Male	Mouse	6	6	Temporary	Halothane	4	unknown	eNOS	Positive
		McCarter,J ¹¹⁸	2005	Male	Mouse	13	13	Temporary	Halothane	4	homozygous	nNOS-/-	Positive
		McCullough,L ¹¹⁹	2005	Male	Mouse	6	6	Temporary	Halothane	4	homozygous	nNOS	Positive
		Namiranian,K ¹²⁰	2005	Male	Mouse	12	13	Temporary	Halothane	4	homozygous	nNOS-/-x HO-	Neutral
		Parmentier,S ¹²¹	2006	Male	Mouse	7	7	Temporary	Isoflurane	4	homozygous	iNOS-/-	Positive
	Overexpression/KO												
		Sampei,K ¹²²	2000	Both	Mouse	10	33	Permanent	Halothane	4	homozygous	nNOS-/-	Positive
Other													
	KO												
		de Bilbao,F ¹²³	2004	Male	Mouse	5	6	Permanent	Chloral Hydrate	3	homozygous	UCP2-/-	Positive
		Endres,M ¹²⁴	2000	Unknown	Mouse	5	4	Temporary	Halothane	4	heterozygous	DNA -methylt	Neutral
		Holschneider,D ¹²⁵	1999	Male	Mouse	9	8	Permanent	Halothane	4	unknown	MAO-B	Neutral
		Olsson,T ¹²⁶	2004	Male	Mouse	5	10	Temporary	Halothane	4	homozygous	Cystatin C	Positive
	Overexpression												
		Mattiasson,G ¹²⁷	2003	Male	Mouse	9	9	Temporary	Halothane	5	unknown	UCP -2/3	Positive
		Miyashita,K ¹²⁸	2006	Unknown	Mouse	9	9	Temporary	Halothane	4	unknown	AM-Tg,	Neutral

Pathway	Expression	Author	Year	Sex	Animal	N (C)	N (Rx)	Mode	Anaesthetic	Quality Score	Genotype	Gene	Effectiveness
<u>Oxygen regulation</u>													
	KO	Kitano,H ¹²⁹	2004	Unknown	Mouse	6	6	Temporary	Halothane	3	heterozygous	ORP150	Positive
	Overexpression	Tamatani,M ¹³⁰	2001	Unknown	Mouse	12	12	Permanent	Unknown	2	unknown	ORP150	Positive
<u>Pro-Apoptotic</u>													
	KO	Chae,H ¹³¹	2004	Unknown	Mouse	6	7	Temporary	Unknown	1	homozygous	BAX inhibitor-1	Positive
		Iadecola,C ¹³²	1999	Unknown	Mouse	6	5	Permanent	Halothane	4	Heterozygous	IRF-1 +/-	Positive
		Le,D ¹³³	2002	Unknown	Mouse	9	7	Temporary	Unknown	3	homozygous	caspase-3	Positive
		Nakase,T ¹³⁴	2004	Unknown	Mouse	10	10	Permanent	Pentobarbital	4	heterozygous	Cx43	Neutral
		Plesnila,N ¹³⁵	2001	Unknown	Mouse	8	8	Temporary	Unknown	1	homozygous	Bid	Neutral
		Schinzal,A ¹³⁶	2005	Male	Mouse	4.5	9	Temporary	Unknown	3	Unknown	CypD	Neutral
	Overexpression	Arumugam,T ¹³⁷	2006	Male	Mouse	12	7	Temporary	Isoflurane	4	unknown	antisense	Positive
		Kerr,L ¹³⁸	2004	Male	Mouse	8	9	Temporary	Unknown	2	Unknown	caspase 3	Positive
<u>Protein Kinase</u>													
	KO	Aronowski,J ¹³⁹	2000	Both	Mouse	12	10	Temporary	Chloral Hydrate	4	homozygous	v PKC	Neutral
		Brecht,S ¹⁴⁰	2005	Male	Mouse	8	8	Permanent	Chloral Hydrate	4	homozygous	JNK1	Positive
		Chou,W ¹⁴¹	2004	Both	Mouse	8	8	Permenent	Isoflurane	4	homozygous	PKC delta -	Neutral
		Hermann,D ¹⁴²	2005	Female	Mouse	20	20	Permanent	Tribromoethanol	5	unknown	IKK2	Positive

Pathway	Expression	Author	Year	Sex	Animal	N (C)	N (Rx)	Mode	Anaesthetic	Quality Score	Genotype	Gene	Effectiveness
		Im,J ¹⁴³	2003	Unknown	Mouse	17	14	Temporary	Ketamine	3	homozygous	jip1 -/-	Positive
		Paul,R ¹⁴⁴	2001	Male	Mouse	3.5	7	Permanent	Halothane	3	heterozygous	Src+/-	Neutral
	Overexpression	Herrmann,O ¹⁴²	2005	Female	Mouse	6	6	Permanent	Tribromoethanol	5	unknown	IKK2 (DN)	Positive
		Ohba,N ¹⁴⁵	2004	Unknown	Mouse	6	5	Permanent	Halothane	4	heterozygous	Akt	Neutral
<u>Serine Protease</u>	KO	Junge,C ¹⁴⁶	2003	Male	Mouse	31	23	Temporary	Isoflurane	4	homozygous	PAR1 -/-	Positive
		Scarff,K ¹⁴⁷	2004	Unknown	Mouse	9	6	Permanent	Unknown	2	homozygous	SPI3	Neutral
<u>Sex Steroid</u>	KO	Dubal,D ¹⁴⁸	2001	Unknown	Mouse	7	13	Permanent	Chloral Hydrate	4	homozygous	Eralpha/beta	Neutral
		Hayashi,S ¹⁴⁹	2005	Male	Mouse	6	5	Temporary	Halothane	4	unknown	gamma PKC	Positive
		McCullough,L ¹⁵⁰	2003	Female	Mouse	11	9	Temporary	Halothane	4	homozygous	Aromatase	Positive
		Sampei,K ¹⁵¹	2000	Female	Mouse	9	9	Temporary	Halothane	4	unknown	ER alpha	Neutral
<u>Thrombolytic</u>	KO	Kleinschnitz,C ¹⁵²	2006	Unknown	Mouse	18	18	Temporary	Unknown	3	homozygous	FX II -/-	Positive
		Nagai,N ¹⁵³	1999	Both	Mouse	2.2	11	Permanent	Ketamine	3	homozygous	tPA-/-	Neutral
		Tsuji,K ¹⁵⁴	2005	Male	Mouse	6	8	Temporary	Halothane	4	unknown	tPA	Positive
	Overexpression	Nagai,N ¹⁵⁵	2005	Male	Mouse	7	8	Permanent	Isoflurane	4	unknown	PAI-1	Positive

Pathway	Expression	Author	Year	Sex	Animal	N (C)	N (Rx)	Mode	Anaesthetic	Quality Score	Genotype	Gene	Effectiveness
<u>Water regulation</u>													
	KO	Manley,G ¹⁵⁶	2000	Male	Mouse	7	7	Permanent	Isoflurane	4	homozygous	AQP4	Positive
	Overexpression	Lo,E ¹⁵⁷	2005	Male	Mouse	14	10	Temporary	Halothane	4	homozygous	ET-1 (GET-1)	Positive

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Personal Review and Self-Assessment

Project Objectives:

Systemic analysis of preclinical efficacy data for candidate stroke drugs suggests an important confounding effect of bias caused by aspects of study design. Using a similar approach, I will seek to determine whether these same factors confound data from transgenic studies investigating stroke pathophysiology by collecting all the publications found on transgenic animals and focal cerebral ischaemia. Aspects of the study design from the 432 papers found were entered into a database and these data are to be systemically reviewed and meta-analysed to find evidence of bias in the reported efficacy of the outcomes.

Personal objective: Having not taken an BSc and with no experience of any literature research, I hoped to gain the skills of carrying out a literature review. I also hope to improve my communication skills with my peers and learn to work in a small group where I can express my opinions. I hoped to learn how to keep calm when problems arose and work under pressure. A literature review engages different computer skills which I would not have used before and it will be good to gain the ability to use programmes such as Microsoft Access for future studies.

Project Review

As it has become clear that with the failure of many clinical trials on neuroprotective agents despite being developed from studies which yielded high efficacy data, evaluation on the study quality and sources of bias is needed on the existing and future animal data before a certain drug is taken into trial. This project addressed this issue by looking at the potential sources of bias in the pathophysiology of stroke which contributes to the study and development of potential neuroprotective drugs.

1504 references were found initially from electronic searching and it was extremely time consuming and mind-numbing to sieve through each abstract to find the 432 publications which were relevant to transgenic animals and focal ischaemia.

432 is a huge number of papers to read in 14 weeks and I spent as much time as I could trying to get through the large amount of papers. In the time that was given with the addition of the 2 weeks of Christmas holidays, I managed to go through 238 papers of which 157 qualified for analysis. Reviewing papers and entering the relevant data was

also time consuming and become quite dull at times but the thought that once all the data has been collected will hopefully help to improve the study quality of future animal experiments gave me incentive to keep going.

For the 4 weeks of the course which were dedicated to doing this SSC project, I also went through a leg operation which meant I was housebound for a month. However, with the project being a systemic review, it was possible to read the papers from home. I found this period the most difficult because when problems arose, being at home made it harder for them to be solved. Due to the vast numbers of papers that needed to be reviewed, I had to do most of them during the Christmas Holidays and found it very difficult not being able to walk and doing papers thus not having much of a holiday. Despite working throughout the holidays, I could not get through 432 papers and it has meant that a meta-analysis could not be carried out which was disappointing. However, I am going to go back to Dr Macleod's office in June and finish the rest of the papers, carry out a meta-analysis without the presence of bias and hopefully find results that are significant enough to get a publication.

Project Review - Doing this project has been an extremely valuable learning experience because I did not undertake a BSc thus did not have the opportunity to carry out any literature reviews. I learnt how to find appropriate papers online which will be extremely valuable to me in the future and the experience of working in an office on a specific project with the aim of producing a publication is very different from the other aspects of the medical degree.

Working with my supervisor Dr Macleod and his PhD student Emily helped me to improve my communication skills as I learnt to be less afraid of asking questions and I enjoyed the experience of working in a group with a smaller number of people as I found it less intimidating to express my own opinions.

Due to the vast numbers of papers which needed to be reviewed, I found a strict discipline, especially during the holidays, whilst carrying out this project which will be useful to my studies in the future. I've learned various computing skills which I would not have otherwise and because I needed to manage my time with the rest of the course, gaining the ability to be well-organised was vital. I have also gained an additional interest in this area of stroke research and understand more about how papers are systematically reviewed and meta-analysed.

Estimation of grade

- a) performance:** A/B
- b) written report:** B
- c) overall grade:** A/B

Although I did put in a lot of time and effort into this project, due to the vast numbers of papers that needed to be reviewed, I was not able to carry out a meta-analysis. This meant I could only use simple statistics to describe my results which was disappointing and I hope to finish reviewing the rest of the papers in the summer.